



ASSEMBLY  
26th session  
Agenda item 10

A 26/Res.1019  
18 January 2010  
Original: ENGLISH

**Resolution A.1019(26)**

**Adopted on 2 December 2009  
(Agenda item 10)**

**AMENDMENTS TO THE CODE FOR THE IMPLEMENTATION  
OF MANDATORY IMO INSTRUMENTS, 2007**

THE ASSEMBLY,

RECALLING Article 15(j) of the Convention on the International Maritime Organization concerning the functions of the Assembly in relation to regulations and guidelines concerning maritime safety and the prevention and control of marine pollution from ships,

RECALLING ALSO that, by resolution A.996(25), it adopted the Code for the Implementation of Mandatory IMO Instruments, 2007 (hereinafter referred to as the "Code"),

RECOGNIZING the need for the above-mentioned Code to be revised to take account of the amendments to the IMO instruments referred to above, which have entered into force or become effective since the adoption of resolution A.996(25),

BEING AWARE of the request of the seventh session of the UN Commission on Sustainable Development (CSD 7) that measures be developed to ensure that flag States give full and complete effect to the IMO and other relevant conventions to which they are party, so that the ships of all flag States meet international rules and standards,

RECOGNIZING that the Parties to the relevant international conventions have, as part of the ratification process, accepted to fully meet their responsibilities and to discharge their obligations under the conventions and other instruments to which they are party,

REAFFIRMING that States have the primary responsibility to have in place an adequate and effective system to exercise control over ships entitled to fly their flag, and to ensure that they comply with relevant international rules and regulations in respect of maritime safety, security and protection of the marine environment,

REAFFIRMING ALSO that States, in their capacity as port and coastal States, have other obligations and responsibilities under applicable international law in respect of maritime safety, security and protection of the marine environment,

For reasons of economy, this document is printed in a limited number. Delegates are kindly asked to bring their copies to meetings and not to request additional copies.



NOTING that, while States may realize certain benefits by becoming party to instruments aiming at promoting maritime safety, security and the prevention of pollution from ships, these benefits can only be fully realized when all parties carry out their obligations as required by the instruments concerned,

NOTING ALSO that the ultimate effectiveness of any instrument depends, *inter alia*, upon all States:

- (a) becoming party to all instruments related to maritime safety, security and pollution prevention and control;
- (b) implementing and enforcing such instruments fully and effectively;
- (c) reporting to the Organization, as required,

NOTING FURTHER that, in the context of the Voluntary IMO Member State Audit Scheme, the enactment of appropriate legislation and its implementation and enforcement are the three key issues on which a Member State's performance can be measured,

BEARING IN MIND that the Voluntary IMO Member State Audit Scheme contains references to the Code for the Implementation of Mandatory IMO Instruments, as appropriate; and that the Code, in addition to providing guidance for the implementation and enforcement of IMO instruments, forms the basis of the Audit Scheme, in particular concerning the identification of the auditable areas,

HAVING CONSIDERED the recommendations made by the Maritime Safety Committee, at its eighty-sixth session, and the Marine Environment Protection Committee, at its fifty-ninth session,

1. ADOPTS the amendments to the Code for the Implementation of Mandatory IMO Instruments, 2007, set out in the annex to the present resolution;
2. URGES Governments of all States in their capacity as flag, port and coastal States to implement the amendments to the Code on a national basis;
3. REQUESTS the Maritime Safety Committee and the Marine Environment Protection Committee to keep the Code under review and, under the coordination of the Council, to propose amendments thereto to the Assembly;
4. REQUESTS FURTHER that a consolidated text of the Code, as amended, be displayed on the Organization's website.

**ANNEX**

**AMENDMENTS TO THE CODE FOR THE IMPLEMENTATION  
OF MANDATORY IMO INSTRUMENTS, 2007**

The struck-out text indicates deletions and the underlined text shows additions or changes, to the Code.

**ANNEX 1**

**OBLIGATIONS OF CONTRACTING GOVERNMENTS/PARTIES**

<b>Obligations of Contracting Governments/Parties</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
<b>IMDG Code</b>		
Section 1.1.3	Transport of radioactive material – role of Competent Authority	<u>delete after 1.1.2010 by res. MSC.262(84)</u>
<u>Section 1.5.2</u>	<u>Radiation protection programme – role of Competent Authority</u>	<u>in force 1.1.2010 by res. MSC.262(84)</u>
<u>Section 1.5.3</u>	<u>Quality assurance programmes – role of Competent Authority</u>	<u>in force 1.1.2010 by res. MSC.262(84)</u>
<u>Chapter 4.1</u>	<u>Approval of packagings as referred to in the chapter – role of Competent Authority</u>	<u>in force 1.1.2010 by res. MSC.262(84)</u>
Chapter 6.2	Approval of pressure receptacles, aerosol dispensers <del>and</del> , <u>small receptacles containing gas and fuel cell cartridges containing liquefied flammable gas</u> – role of Competent Authority	<u>in force 1.1.2010 by res. MSC.262(84)</u>
<u>Section 6.3.2</u>	<u>Quality assurance programme – role of Competent Authority</u>	<u>in force 1.1.2010 by res. MSC.262(84)</u>
<u>Section 6.3.5</u>	<u>Procedures for performance and frequency of tests – role of Competent Authority</u>	<u>in force 1.1.2010 by res. MSC.262(84)</u>
<b><u>Casualty Investigation Code</u></b>		
<u>Para 4/4.1</u>	<u>Detailed contact information of the marine safety investigation authority(ies) to IMO</u>	<u>in force 1.1.2010 by res. MSC.255(84)</u>
<u>Paras 5/5.1 and 5.2</u>	<u>Notification of a marine casualty</u>	<u>in force 1.1.2010 by res. MSC.255(84)</u>

<b>Obligations of Contracting Governments/Parties</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
<u>Paras 7/7.1 and 7.2</u>	<u>Agreement to conduct a marine safety investigation</u>	<u>in force 1.1.2010 by res. MSC.255(84)</u>
<u>Para 8/8.1</u>	<u>Powers provided for investigator(s)</u>	<u>in force 1.1.2010 by res. MSC.255(84)</u>
<u>Para 9/9.2</u>	<u>Coordination for parallel investigations</u>	<u>in force 1.1.2010 by res. MSC.255(84)</u>
<u>Para 10/10.1</u>	<u>Cooperation in investigating</u>	<u>in force 1.1.2010 by res. MSC.255(84)</u>
<u>Para 11/11.1</u>	<u>Investigation not to be subject to external direction</u>	<u>in force 1.1.2010 by res. MSC.255(84)</u>
<u>Paras 13/13.1,13.4 and 13.5</u>	<u>Draft marine safety investigation reports</u>	<u>in force 1.1.2010 by res. MSC.255(84)</u>
<u>Paras 14/14.1 and 14.2</u>	<u>Marine safety investigation reports – communication to IMO</u>	<u>in force 1.1.2010 by res. MSC.255(84)</u>
<u>Paras 14/14.4</u>	<u>Marine safety investigation reports – available to public and shipping industry</u>	<u>in force 1.1.2010 by res. MSC.255(84)</u>

ANNEX 2

**SPECIFIC FLAG STATE OBLIGATIONS**

<b>Specific flag State obligations</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
<b>SOLAS 74</b>		
Reg. II-1/1.2	Compliance with earlier requirements	revised SOLAS chapter II-I adopted by MSC 80 <u>and MSC 82</u>
<del>Reg. II-1/3-2.2</del> Reg. II-1/3-2	Approval of corrosion prevention systems of seawater ballast tanks	
<del>Reg. II-1/3-2.4</del> Reg. II-1/3-4.22 <del>and 3-4.3-3-4.1.2.2</del> and 3-4.1.3	<u>Maintenance of the protective coating</u> Approval of emergency towing arrangements on tankers	<u>in force 1.1.2010 by res. MSC.256(84)</u>
<del>Reg. II-1/3-4.22 and 3-4.3</del> Reg. II-1/3-4.2.2 and 3-4.3	Approval of emergency towing arrangements on tankers	
Reg. II-1/3-8.3	<u>Appropriate requirements for towing and mooring equipment</u>	
Reg. II-1/3-9.1	<u>Means of embarkation and disembarkation</u>	<u>In force 1.1.2010 by res. MSC.256(84)</u>
Reg. II-1/4.2	<u>Alternative methodologies – communication to IMO</u>	
Reg. II-1/4.4	<u>Beneficial or adverse effects of fitting structures as defined by the regulation</u>	
Reg. II-1/5-1.1	<u>Stability information to the Administration</u>	
Reg. II-1/7-2.5	<u>Acceptance to equalization devices and their control</u>	
Reg. II-1/13.9.2	<u>Number and arrangements of doors with a device preventing unauthorized opening</u>	
Reg. II-1/13.11.2	<u>Special consideration for tunnels piercing watertight bulkheads</u>	
Reg. II-1/15.2	<u>Arrangement and efficiency of the means for closing any opening in the shell plating</u>	

<b>Specific flag State obligations</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
<u>Reg. II-1/15.8.5</u>	<u>Material of pipes as referred to in the regulation</u>	
<u>Reg. II-1/16.1.1</u>	<u>Construction and initial tests of watertight doors, sidescuttles, etc.</u>	
<u>Reg. II-1/16-1.1</u>	<u>Construction and initial tests of watertight decks, trunks, etc.</u>	
<u>Reg. II-1/22.4</u>	<u>Determination for watertight doors permitted to remain open</u>	
<u>Reg. II-1/9.1</u>	<u>Ballasting of passenger ships</u>	
<u>Reg. II-1/12.2 and 12-1.2</u>	<u>Approval of double bottoms</u>	
<u>Reg. II-1/14.1</u>	<u>Construction and initial testing of watertight bulkheads, etc., in passenger ships and cargo ships</u>	
<u>Reg. II-1/17.2 and 9.4</u>	<u>Openings in the shell plating of passenger ships below the margin line</u>	
<u>Reg. II-1/18.1.1</u>	<u>Construction and initial tests of watertight doors, sidescuttles, etc., in passenger ships and cargo ships</u>	
<u>Reg. II-1/19.1</u>	<u>Construction and initial tests of watertight decks, trunks, etc., in passenger ships and cargo ships</u>	
<u>Reg. II-1/25-1.3</u>	<u>Alternative arrangements – information to IMO</u>	
<u>Reg. II-1/35-1.3.7.2 and 3.9</u>	<u>Bilge pumping arrangements</u>	
<u>Reg. II-2/20.6.1.4.2</u>	<u>Adverse effect as referred to in the regulation – Approval of stability information</u>	<u>in force 1.1.2010 by res. MSC.256(84)</u>
<u>Reg. XI-1/3.5.4</u>	<u>Ship identification number – approval of method of marking the ship identification number</u>	
<u>Reg. XI-1/3-1.2</u>	<u>Registered owner identification number</u>	

<b>Specific flag State obligations</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
<u>Reg. XI-1/6</u>	<del>Each Administration shall conduct</del> <u>Investigations of marine casualties and incidents.</u>	<u>In force 1.1.2010 by res. MSC.257(84)</u>
<b>MARPOL</b>  <b>Annex IV</b>		
<u>Reg. 11(1)(1)</u>	<u>Approval of rate of discharge</u>	
<b>FSS Code</b>		
<u>Para 4/3.2.2.2</u>	<u>Approval of foam concentrate</u>	
<u>Para 7/2.1</u>	<u>Fixed pressure water-spraying fire-extinguishing systems - approval</u>	
<u>Para 7/2.1.1.1</u>	<u>Type approval of spraying nozzles</u>	
<u>Para 7/2.1.1.2</u>	<u>Number and arrangement of nozzles</u>	
<u>Para 7/2.3</u>	<u>Fixed pressure water-spraying fire-extinguishing systems for cabin balconies – approval</u>	
<u>Para 9/2.6</u>	<u>Fixed fire detection and fire alarm systems for cabin balconies – approval</u>	
<b>1994 HSC Code</b>		
<u>Para 8.9.1.2</u>	<u>Approval of novel life-saving appliances or arrangements</u>	
<u>Para 8.9.1.3</u>	<u>Notification to the Organization</u>	
<u>Para 8.9.7.2</u>	<u>Deployment intervals of MES</u>	
<u>Para 8.9.11</u>	<u>Novel life-saving appliances or arrangements</u>	
<u>Para 8.9.12</u>	<u>Notification to the Organization</u>	
<b>2000 HSC Code</b>		
<u>Para 1.4.37 36</u>	<u>Determination of “maximum operational weight”</u>	
<u>Para 1.9.1.1.4</u>	<u>Transit voyage – satisfied with the arrangement</u>	

<b>Specific flag State obligations</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
<u>Para 1.9.7</u>	<u>The worst intended conditions and the operational limitations</u>	
Para <del>2.7.5</del> <del>2.7.4</del> and 2.14.2	Inclining and stability information – approval	
<u>Para 4.8.10</u>	<u>Evacuation demonstration</u>	
Para 7.3.3 <del>2</del>	Approval of structural fire protection details	
Para <del>7.7.3.2.6</del> 7.7.3.3.6	Additional quantity of fire-extinguishing medium	
<del>Para 7.7.5.5</del>	<del>Maximum length of fire hoses</del>	
<u>Para 7.17.3.1.5</u>	<u>Water spray system – approval</u>	
<b>BCH Code</b>		
Section 1.8	New products – establishing suitable conditions – notification to IMO	<del>amended by Res. MEPC.144(54) (in force 1.8.07)</del>
<del>Section 2.17</del>	<del>Structural materials for tank construction, etc.</del>	<del>deleted after 1.8.07 by Res. MEPC.144(54)</del>
Chapter IV	Approval of special requirements for specific chemicals	<del>amended by Res. MEPC.144(54) (in force 1.8.07)</del>
Para 5A.3.1	<del>Procedures and Arrangements Manual – approval</del>	<del>deleted after 1.8.07 by Res. MEPC.144(54)</del>
<b>Res. MEPC.94(46), as amended</b>	Condition assessment scheme	
Para 13	Issue, suspension or withdrawal of Statement of Compliance	<del>amended by Res. MEPC.155(55) (in force 1.3.08)</del>
<b><u>Res. MSC.215(82)</u></b>	<u>Performance standard for protective coatings</u>	
<u>Para 3.2</u>	<u>Inspection of surface preparation and coating processes</u>	
<u>Para 3.4.1</u>	<u>Coating technical file</u>	



<b>Specific flag State obligations</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
<u>Para 4.4.3</u>	<u>The Technical Data Sheet and Statement of Compliance or Type Approval Certificate – verification</u>	
<u>Section 5</u>	<u>Coating system approval</u>	
<u>Para 6.1.1</u>	<u>Verification of equivalent qualification of coating inspector</u>	
<u>Section 7</u>	<u>Verification requirements</u>	
<b>Res. A.744(18), as amended</b>	Guidelines on the enhanced programme of inspections during surveys of bulk carriers and oil tankers	
<b>Annex A – Bulk carriers</b>		
<b><u>Part A – Single-side skin bulk carriers</u></b>		<u>in force 1.1.2010 by res. MSC.261(84)</u>
<b><u>Part B – Double-side skin bulk carrier</u></b>		<u>in force 1.1.2010 by res. MSC.261(84)</u>
<u>Para 1.3.1</u>	<u>Repair of damage affecting the ship’s structural, watertight or weathertight integrity</u>	<u>in force 1.1.2010 by res. MSC.261(84)</u>
<u>Para 1.3.2</u>	<u>Corrosion or structural defects impairing the ship’s fitness</u>	<u>in force 1.1.2010 by res. MSC.261(84)</u>
<u>Para 3.3.4</u>	<u>Cargo hatch cover securing system</u>	<u>in force 1.1.2010 by res. MSC.261(84)</u>
<u>Para 5.1.1</u>	<u>Survey programme</u>	<u>in force 1.1.2010 by res. MSC.261(84)</u>
<u>Para 5.1.5</u>	<u>Maximum acceptable structural corrosion diminution levels</u>	<u>in force 1.1.2010 by res. MSC.261(84)</u>
<u>Para 5.2.2</u>	<u>Provisions for proper and safe access</u>	<u>in force 1.1.2010 by res. MSC.261(84)</u>
<u>Para 6.2.2</u>	<u>Survey report file retained in the Administration office</u>	<u>in force 1.1.2010 by res. MSC.261(84)</u>
<u>Paras 8.1.2 and 8.2.3</u>	<u>Evaluation of survey report</u>	<u>in force 1.1.2010 by res. MSC.261(84)</u>

<b>Specific flag State obligations</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
<u>Annex 4B</u>	<u>Survey planning questionnaire</u>	<u>in force 1.1.2010 by res. MSC.261(84)</u>
<u>Annex 5, para 3.1</u>	<u>Certification of a company engaged in thickness measurement</u>	<u>in force 1.1.2010 by res. MSC.261(84)</u>
<u>Annex 9, para 2.3</u>	<u>Technical assessment in conjunction with the planning of enhanced surveys for bulk carriers</u>	<u>in force 1.1.2010 by res. MSC.261(84)</u>
<u>Annex 11, para 3</u>	<u>Materials and welding</u>	<u>in force 1.1.2010 by res. MSC.261(84)</u>
<b>IBC Code</b>		
<u>Para 18.2</u>	<u>Safety requirements – list of products to which the Code does not apply</u>	
<b><u>Casualty Investigation Code</u></b>		
<u>Para 1/1.3</u>	<u>Qualified person (s) for investigation</u>	<u>in force 1.1.2010 by res. MSC.255(84)</u>
<u>Para 6/6.2</u>	<u>Investigation into a very serious marine casualty</u>	<u>in force 1.1.2010 by res. MSC.255(84)</u>

**ANNEX 4**

**SPECIFIC PORT STATE OBLIGATIONS**

<b>Specific port State obligations</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
<b>MARPOL</b> Annex IV Reg. 13	Port State control of operational requirements	<del>In force 1.8.07 by Res.MEPC.143(54)</del>

## ANNEX 5

## INSTRUMENTS MADE MANDATORY UNDER IMO CONVENTIONS

<b>SOLAS 74</b>	<u>Res. MSC.215(82)</u> <u>Casualty Investigation Code</u> Res. 4 of the 1997 SOLAS Conf.	<u>reg. II-1/3-2.2</u> <u>reg. XI-1/6</u> <del>reg. XII/1.5 (reg. XII/1.7 as of 1.7.06)</del>
<b>MARPOL <del>73/78</del></b>	NO <sub>x</sub> Technical Code	Annex VI reg. II/5(3)(b) <del>reg.2(5)</del>

**ANNEX 6**

**SUMMARY OF AMENDMENTS TO MANDATORY INSTRUMENTS  
REFLECTED IN THE CODE**

SOLAS 1974	<u>2008 amendments (res. MSC.257(84), except res. MSC.201(81), res. 202(81) and res. 216(82))</u>
FSS Code	<u>up to and including the 2006 amendments (res. 217(82), Annex 1, except res. MSC.206(81))</u>
LSA Code	<u>up to and including 2006 amendments (res. MSC.218(82), except res. MSC.207(81))</u>
IMDG Code	<u>up to and including the 2008 amendments (res. MSC.262(84))</u>
IBC Code	<u>up to and including the 2006 amendments (res. MSC.219(82) and MEPC.166(56))</u>
IGC Code	<u>up to and including the 2006 amendments (res. MSC.220(82))</u>
INF Code	<u>up to and including the 2007 amendments (res. MSC.241(83))</u>
ISM Code	<u>up to and including the 2005 amendments (res. MSC.195(80))</u>
1994 HSC Code	<u>up to and including the 2008 amendments (res. MSC.259(84))</u>
2000 HSC Code	<u>up to and including the 2008 amendments (res. MSC.260(84))</u>
Res. A.744(18)	<u>up to and including res. MSC.261(84)</u>
<u>Res. MSC.215(82)</u>	<u>no amendments yet adopted</u>
<u>Casualty Investigation Code</u>	<u>MSC.255(84)</u>
SOLAS PROT 1988	<u>up to and including the 2008 amendments (res. MSC.258(84), except res. MSC.204(81))</u>
MARPOL	<u>up to and including the 2007 amendments (res. MEPC.164(56))</u>
IBC Code	<u>up to and including the 2006 amendments (res. MEPC.166(56) and MSC.219(82))</u>
LL PROT 1988	<u>up to and including the 2006 amendments (res. MSC.223(82))</u>

## ANNEX 7

**AMENDMENTS TO IMO INSTRUMENTS EXPECTED TO BE ACCEPTED  
AND TO ENTER INTO FORCE ON 1 JULY 2010**

**THE FOLLOWING TABLES CONTAIN A NON-EXHAUSTIVE LIST  
OF OBLIGATIONS, INCLUDING THOSE OBLIGATIONS IMPOSED  
WHEN A RIGHT IS EXERCISED**

**OBLIGATIONS OF CONTRACTING GOVERNMENTS/PARTIES**

<b>Obligations of Contracting Governments/Parties</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
<b>MARPOL</b>		
<b><u>Revised Annex VI</u></b>		<u>in force 1.7.2010 by res. MEPC.176(58)</u>
Reg. 11.1 (4)	Detection of violations and enforcement – cooperation	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
Reg. 11.2 (2)	Inspection report in case of detection of violations	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
Reg. 11.3 (3)	Detection of violations and enforcement – information to flag State and master on violations detected	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg.11.5</u>	<u>Transmission of report to requesting Party</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg.13.7.1</u>	<u>Certification of an Approved Method and communication to IMO</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 17.1</u>	<u>Adequate Reception Facilities</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 17.3</u>	<u>Reception Facilities unavailable or inadequate – communication to IMO</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 18.1</u>	<u>Availability of fuel oils</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 18.2.1</u>	<u>Ship not compliant with fuel oil standards</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 18.2.3</u>	<u>Action taken, including not taking control measures</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 18.2.5</u>	<u>Evidence of the non-availability of compliant fuel oil – communication to IMO</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>

<b>Obligations of Contracting Governments/Parties</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
Reg. 18(7)	Fuel oil quality	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 18.9</u>	<u>Authorities designated for register of local suppliers, bunker delivery note and sample, fuel oil quality, actions against fuel oil suppliers of non-compliance, informing the Administration of any ship receiving non-compliant fuel oil and communication to IMO of non-compliant fuel oil suppliers as referred to in the paragraph</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>

### SPECIFIC FLAG STATE OBLIGATIONS

<b>Specific flag State obligations</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
<b>SOLAS 74</b>		
<u>Reg. II-1/55.3, 55.4.1 and 55.6</u>	<u>Evaluation of the alternative design and arrangements and re-evaluation due to change of conditions</u>	<u>in force 1.7.2010 by res. MSC.216(82)</u>
<u>Reg. II-1/55.5</u>	<u>Alternative design and arrangements – communication to IMO</u>	<u>in force 1.7.2010 by res. MSC.216(82)</u>
<u>Reg. II-2/21.5.2</u>	<u>Alternate space for medical care</u>	<u>in force 1.7.2010 by res. MSC.216(82)</u>
<u>Reg. III/38.3, 38.4.1 and 38.6</u>	<u>Evaluation of the alternative design and arrangements and re-evaluation due to change of conditions</u>	<u>in force 1.7.2010 by res. MSC.216(82)</u>
<u>Reg. III/38.5</u>	<u>Alternative design and arrangements – communication to IMO</u>	<u>in force 1.7.2010 by res. MSC.216(82)</u>
<b>MARPOL</b>		
<b><u>Revised Annex VI</u></b>		
<u>Reg. 3.2 and 3.3.2</u>	<u>Exceptions and exemptions</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 4.2 and 4.4</u>	<u>Equivalents and communication to IMO</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 5</u>	<u>Surveys and certification</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 9.1</u>	<u>Duration and validity of certificate</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 9.9.3 (9)(e)</u>	<u>Transfer of flag</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 11.4</u>	<u>Detection of violations and enforcement – investigations and communication to the Party and IMO</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg.12.6</u>	<u>Ozone Depleting Substances Record Book – approval of alternative forms of record keeping</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>



<b>Specific flag State obligations</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
<u>Reg. 13.1.1.2 &amp; 13.1.2.2) (1)(b)(ii)</u>	<u>Nitrogen oxides – Acceptance of identical replacement and alternative control measures</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 13(2)(b)</u>	<u>Nitrogen oxides – approval of documentation</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 13.2.2</u>	<u>Acceptance of installation of Tier II engine in lieu of Tier III where Tier III engine could not be accommodated</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 13(3)(b)</u>	<u>Nitrogen oxides – approvals of exhaust gas cleaning systems or equivalent methods</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 13.5.2.2</u>	<u>Combined nameplate diesel engine – application as referred to in the paragraph</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 13.7.2</u>	<u>Approved method not commercially available</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 14(4)(b) and (c)</u>	<u>Sulphur oxides – approvals of exhaust gas cleaning systems or alternatives</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 14.6 (6)</u>	<u>Sulphur oxides – prescription of log-book</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 15.5 (5)</u>	<u>Volatile organic compounds – approval of vapour collection systems</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 15.6</u>	<u>VOC Management Plan - approval</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 16.6.1 (2)(a)</u>	<u>Shipboard incineration – approvals</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 17(2)</u>	<u>Notification on alleged inadequacies of port reception facilities</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Appendix IV, para 1</u>	<u>Type approval as referred to in the paragraph</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Appendix VI, para 1.2, para 2.1 and para 3.1</u>	<u>Fuel verification procedure – management and sample delivery</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<b><u>Res. A.739(18), as amended</u></b>	<u>Guidelines for the authorization of RO</u>	<u>in force 1.7.2010 by res. MSC.208(81)</u>

<b>Specific flag State obligations</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
<b>FSS Code</b>		
<u>Para 5/2.1.2.1</u>	<u>System flow calculations</u>	<u>in force 1.7.2010 by res. MSC.206(81)</u>
<b><u>NO<sub>x</sub> Technical Code 2008</u></b>		<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Chapter 1</u>	<u>Assumption of full responsibility for the approval of documentation as required by the Code together with the acceptance of procedures and alternatives as permitted by the Code.</u>	<u>in force 1.7.2010 by res. MEPC.177(58)</u>
<u>Chapter 2</u>	<u>Issue of the Engine International Air Pollution Prevention Certificate, arrangements for the Parent Engine test and pre-certification of engines, usage of the Engine Family / Engine Group concepts and approval of the Technical File and any subsequent amendments.</u>	<u>in force 1.7.2010 by res. MEPC.177(58)</u>
<u>Chapter 3</u>	<u>Acceptance of modification of engine speed at E2 test cycle 25% power mode point.</u>	<u>in force 1.7.2010 by res. MEPC.177(58)</u>
<u>Chapter 4</u>	<u>Assignment of Engine Family/Engine Group status, as applicable, and selection of associated Parent Engine. Acceptance of conformity of production arrangements. Adjustment of Parent Engine relative to Engine Group reference values.</u>	<u>in force 1.7.2010 by res. MEPC.177(58)</u>
<u>Chapter 5</u>	<u>Ensuring that the Parent Engine test and subsequent calculations are undertaken in accordance with Code requirements and that, where alternatives are applied, these meet the Code's equivalency requirements and any deviations are within the permitted margins. Filing of Parent Engine test report.</u>	<u>in force 1.7.2010 by res. MEPC.177(58)</u>

<b>Specific flag State obligations</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
<u>Chapter 6</u>	<u>Onboard NO<sub>x</sub> Verification Procedures are in accordance with the provisions of the Code and are adequate to provide verification that an engine, as so surveyed, will be in accordance with the applicable Annex VI requirements. Acceptance of aspects within Onboard NO<sub>x</sub> Verification Procedure – Simplified Measurement Method if applicable. Approval of aspects within Onboard NO<sub>x</sub> Verification Procedure – Direct Measurement and Monitoring Method including the Onboard Monitoring Manual, if applicable.</u>	<u>in force 1.7.2010 by res. MEPC.177(58)</u>
<u>Appendix IV</u>	<u>Verification that the calibration of all necessary measurement equipment meets Code requirements.</u>	<u>in force 1.7.2010 by res. MEPC.177(58)</u>
<u>Appendix VII</u>	<u>Aspects to be included within Onboard NO<sub>x</sub> Verification Procedure – Parameter Check Method.</u>	<u>in force 1.7.2010 by res. MEPC.177(58)</u>
<u>Appendix VIII</u>	<u>Approval of alternative exhaust gas measurement principles.</u>	<u>in force 1.7.2010 by res. MEPC.177(58)</u>
<b><u>IS Code, 2008</u></b>	<u>International code on intact stability, 2008</u>	<u>In force 1.7.2010 by res. MSC.267(85)</u>
<u>Part A, Ch. 1.2</u>	<u>Criteria demonstrating sufficient ship stability in critical stability situation in waves</u>	<u>In force 1.7.2010 by res. MSC.267(85)</u>
<u>Part A, Ch. 2.1.3</u>	<u>Stability criteria where anti-rolling devices are installed</u>	<u>In force 1.7.2010 by res. MSC.267(85)</u>
<u>Part A, Ch. 2.3</u>	<u>Severe wind and stability criterion</u>	<u>In force 1.7.2010 by res. MSC.267(85)</u>
<u>Part A, Ch. 3</u>	<u>Special criteria for certain types of ships</u>	<u>In force 1.7.2010 by res. MSC.267(85)</u>

### SPECIFIC PORT STATE OBLIGATIONS

<b>Specific port State obligations</b>		
<b>Source</b>	<b>Summary description</b>	<b>Comments</b>
<b>MARPOL</b>		
<b><u>Revised Annex VI</u></b>		
<u>Reg. 5(3)(3)</u>	<u>Necessary assistance to the survey as referred to in the paragraph</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 14(4)(b)</u>	<u>Discharge criteria — Communication to IMO</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 15.3 and 15.4 (2) and (3)</u>	<u>Volatile organic compounds – approvals for vapour emission control systems and notification to IMO</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 17.2 (4)</u>	<u>Reception facilities as referred to in the paragraph – communication to IMO</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 18(5)</u>	<u>Fuel oil quality — inspection of bunker delivery notes</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>
<u>Reg. 18.10 (8)</u>	<u>Fuel oil quality – Communication to Party or non-Parties <del>information</del> and remedial action</u>	<u>in force 1.7.2010 by res. MEPC.176(58)</u>