



ASSEMBLY
20th session
Agenda item 22

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**RESOLUTION A.844(20)
adopted on 26 November 1997**

**WORK PROGRAMME AND BUDGET FOR THE TWENTIETH FINANCIAL
PERIOD 1998-1999**

A. WORK PROGRAMME AND BUDGET FOR 1998-1999

THE ASSEMBLY,

RECALLING Article 15 of the Convention on the International Maritime Organization and in paragraphs (f) and (g) thereof with regard to the functions of the Assembly in relation to the work programme and budget,

RECALLING FURTHER resolution A.726(17) by which the revised assessment formula for apportioning the contributions of Member States to the Organization's budget and Amendments to the Rules and Procedure of the Assembly concerning enforcement of the payment of contributions were approved,

NOTING the provision of article III of the proposed Financial Regulations with regard to the preparation of the programme budget estimates,

1. APPROVES the work programme submitted by the Secretary-General in the new programme budget format, comprising ten major programmes which are divided into programmes and sub-programmes, as recommended by the Council;

2. DECIDES that:

- (a) For the financial period 1998-1999 appropriations are here voted for the following purposes:

Programmes	1998-1999
	appropriations
	£
1 - General policy and direction	1,436,700
2 - Maritime safety	3,477,400
3 - Marine environment protection	2,523,800
4 - Legal affairs	1,244,200
5 - Facilitation of maritime traffic	306,800
6 - Cross sectoral activities	1,002,800
7 - TC and institutional development	2,665,700
8 - Conferences services	10,155,700
9 - Administrative services	6,098,500
10 - External relations and information services	1,062,800
Total estimated direct costs of major programmes	29,974,400
Staff turnover level (120 work months)	(501,000)
General operating expenses and headquarters premises	7,138,800
Total	36,612,200

- (b) The appropriation voted in subparagraph (a) above shall be financed by contributions from Member States after reduction for miscellaneous income (estimated at £280,000 for the biennium), and for a transfer from the surplus in the Printing Fund of £400,000 during the biennium;
- (c) Transfers of uncommitted balances of appropriations to the same major programme of the budget in the second calendar year other than those authorized by article IV of the Financial Regulations, and transfer of appropriations between major programmes of the budget, shall only be carried out with the prior concurrence of the Council;
- (d) In respect of each of the calendar years 1998 and 1999 covered by the financial period, the approved meetings shall be as in Appendix 1, the approved posts as in Appendix 2, and the appropriations and assessments shall be those indicated under the relevant programme headings in Appendix 3;

NOTING the provision in regulation 5.1 of the Financial Regulations and Rules concerning the use of the Working Capital Fund to finance the approved appropriation pending receipt of contributions,

RECOGNIZING ALSO that exceptional and unforeseen circumstances could arise pending receipt of contributions and when the funds in the Working Capital Fund are insufficient to finance the approved appropriations,

3. AUTHORIZES the Secretary-General to draw upon the Printing Fund, the Headquarters Capital Fund, the Technical Co-operation Fund and the Termination Benefit Fund, to finance the approved appropriations. Advances made from these Funds to finance budgetary appropriations during a financial period shall be reported to the Council immediately and reimbursed to each respective Fund as soon as and to the extent that income is available for that purpose,

RECOGNIZING further the provision in regulation 3.8 of the Organization's Financial Regulations and Rules concerning supplementary estimates and the past practice of the Assembly in authorizing the Council to approve any necessary recalculation of the appropriations for the second year of the biennium,

4. AUTHORIZES the Council at its eightieth session to review the 1999 programme budget provisions and, if it decides it is necessary, to approve any appropriate recalculation of the 1999 appropriations and assessment figures in the light of the budgetary and exchange rate situation at that time;

5. INVITES the attention of the Council to the above-mentioned provisions;

6. REQUESTS the Secretary-General to ensure their application while endeavouring to effect the maximum economies in the budget.

7. REQUESTS the Council to keep under review the Organization's financial framework during the 1998-1999 biennium and to report on the outcome to the twenty-first session of the Assembly.

B. WORKING CAPITAL FUND

THE ASSEMBLY,

RECALLING resolution A.363(IX) by which the Working Capital Fund was established in the amount of \$250,000 as of 1 January 1976 by means of advances assessed on Member States,

RECALLING FURTHER resolution A.508(XIII) authorizing the transfer of the Working Capital Fund of \$400,000 from the Printing Fund surplus, resolution A.552(13) authorizing the transfer to the Working Capital Fund of \$350,000 from the General Fund cash surplus, resolution A.633(15)B increasing the level of the Working Capital Fund to £1.25 million by a transfer from the Exchange Reserve Fund, and resolution A.837(19) increased the level of the Working Capital Fund to £2 million in two stages by the transfer of £375,000 in each year of the 1996-1997 biennium from the surpluses of the Printing Fund which arose at the end of 1995 and 1996,

NOTING that, after taking into account payments by new Members, the level of the Working Capital Fund presently stands at £2,004,028,

1. DECIDES that new Members shall be assessed for their advances to the Working Capital Fund on the basis only of the part assessed on Members as at 1 January 1976;

2. AUTHORIZES the Secretary-General:

- (a) To advance from the Working Capital Fund such sums as may be necessary to finance the appropriations for the years 1998 and 1999 pending receipt of contributions from Members; such amounts so advanced shall be reimbursed to the Working Capital Fund

as soon as contributions are available;

- (b) To advance from the Working Capital Fund such sums as may be necessary to finance additional expenditure arising from movements in the rate of exchange during 1998-1999 from the rate adopted for calculation of the appropriation; sums so advanced to be returned to the Working Capital Fund as soon as possible and in a manner decided on by the Council;
- (c) With the prior concurrence of the Council, to advance such sums as may be necessary to meet unforeseen or extraordinary expenses arising during the financial period 1998-1999, provided that such expenses are of a clearly exceptional nature and shall relate specifically to the approved work programme of the Organization and that the Council is assured that the relevant expenditure cannot be met by appropriate transfer action within the total budget approved for a calendar year;

3. REQUESTS the Secretary-General to report to the Council and the Assembly all advances made under the present resolution, and the circumstances relating thereto, and to submit supplementary estimates for reimbursement to the Working Capital Fund of advances made therefrom to meet unforeseen or extraordinary expenses.

C. PRINTING FUND

THE ASSEMBLY,

RECALLING that, in accordance with resolution A.100(IV), the disposal of any amount standing to the credit of the Printing Fund at the end of the financial period shall be decided by the Assembly,

- 1. APPROVES that the amount standing to the credit of the Printing Fund at the end of the financial period 1996-1997 shall be carried forward to the Printing Fund for the financial period 1998-1999;
- 2. DECIDES that the interest earnings accruing in the Printing Fund shall continue to be credited to the Printing Fund.
- 3. AUTHORIZES the Secretary-General to make the transfer noted in the paragraph 2(b) Part A of this resolution additionally;
 - (a) To transfer at 1 January 1998 £500,000 from the end of the 1997 Printing Fund surplus to the Headquarters Capital Fund, as noted in paragraph 1(a) of Part D of this resolution;
 - (b) To transfer at 1 January 1999 £500,000 from the end of the 1998 Printing Fund surplus to the Headquarters Capital Fund, as noted in paragraph 1(b) of Part D of this resolution;
 - (c) To transfer at 1 January 1998 £500,000 from the end of the 1997 Printing Fund surplus to the Technical Co-operation Fund, as noted in paragraph 1(a) of Part E of this resolution;
 - (d) To transfer £500,000 from the end of 1998 Printing Fund surplus to Technical Co-operation Fund in 1999, as noted in paragraph 1(b) of Part E of this resolution.

D. HEADQUARTERS CAPITAL FUND

THE ASSEMBLY,

RECALLING that the Assembly decided at its eighteenth regular session, in resolution A.778(18), the establishment of the Headquarters Capital Fund by transferring the balance held in the Headquarters Installation Fund and by transfers from the Printing Fund of £500,000 in 1994 and £250,000 in 1995,

RECALLING ALSO that the Assembly decided that the Fund shall be replenished from time to time by such transfers from other funds of the Organization as may be approved by the Assembly or by the transfer of funds from the Organization's regular budget as may be approved by the Assembly,

NOTING that the Fund has been enabled the Secretary-General to plan for and implement major capital programmes over the years 1994 to 1997 notably in the field of office automation and communication which improve the Organization's efficiency and effectiveness,

NOTING ALSO that the capital expenditure programme for the next biennium and beyond as presented in annex 1 to C/ES.19/17/Add.1 indicates that fresh transfers of funds are required to rebuild the base of the Fund,

1. AUTHORIZES the Secretary-General:
 - (a) To transfer £500,000 from the surplus of the Printing Fund at 1 January 1998 to the Headquarters Capital Fund;
 - (b) To transfer £500,000 from the surplus of the Printing Fund at 1 January 1999 to the Headquarters Capital Fund;
 - (c) To transfer £500,000 from the surplus of the General Fund at 1 January 1998 to the Headquarters Capital Fund;
2. APPROVES that the income arising from investments of the Headquarters Capital Fund shall continue to be credited to the Headquarters Capital Fund.

E. TECHNICAL CO-OPERATION FUND

THE ASSEMBLY,

RECALLING resolution A.593(14) of 20 November 1985 by which the Technical Co-operation Fund was established, and which resolved that the interest shall be used to assist the Technical Co-operation Programme of the Organization in accordance with the proposals approved by the Assembly,

RECALLING FURTHER resolution A.837(19) of 23 November 1995 by which the funds from the Technical C-operation Fund was drawn down and converted into sterling pounds for application of technical co-operation activities, and to enable the level of the funds to be increased by donor contributions and by transfer from other funds of the Organization as may be approved by the Assembly,

NOTING that the Assembly decided the transfer from the General Fund of £500,000 in 1996 and from the Printing Fund of £2.3 million in 1996 and £700,000 in 1997 to the Technical Co-operation Fund to carry out its technical co-operation activities,

NOTING with concern the continued decline of the extra-budgetary funds donated to the Organization for technical assistance and the very low level of funds available to finance the Integrated Technical Co-operation Programme of the Organization,

RECOGNIZING the need to finance a core programme of technical co-operation which will assist developing countries in the implementation of the Organization's conventions and instruments,

1. AUTHORIZES the Secretary-General:

- (a) To transfer £500,000 from the surplus of the Printing Fund at 1 January 1998 to the Technical Co-operation Fund;
- (b) To transfer £500,000 from the surplus of the Printing Fund at 1 January 1999 to the Technical Co-operation Fund;
- (c) To transfer £500,000 from the cash surplus of the General Fund at 1 January 1998 to the Technical Co-operation Fund;
- (d) To transfer £500,000 from the cash surplus of the General Fund at 1 January 1999 to the Technical Co-operation Fund.

2. APPROVES that the income arising from investments of the Technical Co-operation Fund shall be continue to be credited to the Technical Co-operation Fund;

3. REQUESTS the Secretary-General to submit programmes of technical co-operation activities indicating outputs and end results from the use of the Fund's resources during the 1998-1999 biennium.

F. TERMINATION BENEFIT FUND

THE ASSEMBLY,

NOTING the Organization's liability for termination indemnity and repatriation grants of approximately £5.0 million as at 31 December 1997,

RECALLING resolution A.837(19) by which the Termination Benefit Fund was established by an initial transfer of £900,000 from the cash surplus of the General Fund as at 1 January 1996 to meet the costs associated with termination benefit of the staff of the Organization,

RECALLING ALSO that the Assembly decided that the Fund shall be replenished from time to time by such transfers from other funds of the Organization as may be approved by the Assembly and by the transfer of funds from the Organization's regular budget as may be approved by the Assembly and that the Fund shall be administered in accordance with the Financial Regulations and Rules of the Organization,

1. AUTHORIZES the Secretary-General:

- (a) To transfer £250,000 from the surplus of the General Fund at 1 January 1998 to the Termination Benefit Fund;
- (b) To transfer £250,000 from the surplus of the General Fund at 1 January 1999 to the Termination Benefit Fund;

2. APPROVES that income arising from investments of the Termination Benefit Fund shall be credited to the Termination Benefit Fund.

APPENDIX 1

LIST OF MEETINGS APPROVED FOR 1998 AND 1999

Meeting	1998	1999
	Number of meetings	Number of meetings
Assembly, twentieth session		2.0
Council and Technical Co-operation Committee	*2.0	**1.2
MSC, MEPC & subsidiary bodies		
MSC & MEPC	4.5	2.5
Sub-committees	8.0	9.0
Legal Committee	2.0	2.0
Facilitation Committee	1.0	1.0
London Convention	1.0	1.0
Total	18.5	18.7

*Includes 1.5 days for the June session of TCC and one day for the autumn session.

**One week for spring regular session and one day for extraordinary session prior to Assembly.

APPENDIX 2

LIST OF POSTS APPROVED FOR 1998 AND 1999

	Professional and higher categories	General Service category	Total
Office of the Secretary-General	5	5	10
Maritime Safety Division	20	14	34
Marine Environment Division	11	6	17
Legal Affairs and External Relations Division	11	7	18
Administrative Division	15	52	67
Conference Division	45	91	136
Technical Co-operation Division	10	10	20
Total	117	185	302

APPENDIX 3

**WORK PROGRAMME AND PROGRAMME BUDGETS
FOR THE TWENTIETH FINANCIAL PERIOD 1998-1999 BIENNIUM**

Programmes	1998	1999	Sub-total	Total
		£		
1 General policy and direction				
1 Governance (Council, Assembly)	74,100	215,700	289,800	
2 Office of the Secretary-General	346,800	362,000	708,800	
3 Internal oversight	217,800	220,300	438,100	1,436,700
2 Maritime Safety				
1 Direction and Management	253,600	214,100	467,700	
2 Training and Human Element Matters	238,000	248,100	486,100	
3 Stability, Load Lines and Fishing Vessels Safety	159,000	164,400	323,400	
4 Ship Design and Equipment	169,200	177,800	347,000	
5 Fire Protection	68,600	106,200	174,800	
6 Radio Communications and Search and Rescue	218,300	224,900	443,200	
7 Navigational Operations and Equipment	353,000	374,100	727,100	
8 Bulk Liquid and Gases	74,400	77,600	152,000	
9 Dry Cargoes and Containers	177,000	179,000	356,000	
10 TC and Inst. Develop. - Implement. of Safety Prog. (shown in Prog. 7.5.1)				3,477,400
3 Marine Environment Protection				
1 Direction and Management	236,300	199,000	435,300	
2 Amendments to and Implementation of MARPOL	426,000	438,200	864,200	
3 Pollution Preparedness and Response (OPRC)	292,500	309,800	602,300	
4 Waste and Dumping Management (London Convention)	194,200	200,500	394,700	
5 Follow-up to UNCED	27,500	23,700	51,200	
6 GESAMP	86,900	89,200	176,100	
7 TC and Inst. Develop. - Implement. of Environ. Prog. (shown in Prog. 7.5.2)				2,523,800
4 Legal Affairs				
1 Direction and Management	176,900	183,100	360,000	
2 Legal Affairs	436,900	447,300	884,200	
3 TC and Inst. Develop. - Implement. of Maritime Legisl. Prog. (shown in Prog. 7.5.3)				1,244,200
5 Facilitation of Maritime Traffic				
1 Direction and Management	17,600	18,100	35,700	
2 Amendments to & implementation of FAL	134,200	136,900	271,100	
3 TC and Inst. Develop. - Implement. of FAL Prog. (shown in Prog. 7.5.4)				306,800
6 Cross Sectoral Activities				
1 Direction and Management	62,300	64,900	126,300	
2 Flag State Implementation	136,300	141,700	278,000	
3 Port State Control	61,800	64,700	126,500	
4 Ship Casualties	56,700	60,000	116,700	
5 Survey and Certification	36,500	37,700	74,000	
6 Dry Cargoes	33,100	34,600	67,700	
7 New Approach to Safety	36,200	38,100	74,300	
8 Co-operation with the UN and other Int'l bodies	68,200	71,100	139,300	1,002,800
7 TC and Institutional Development				
1 Direction and Management	300,500	295,400	595,700	
2 ITCF: Strategy and Programme Development	280,500	290,700	571,000	
3 Co-ordination of Resource Mobilization	210,100	218,800	428,900	
4 Management and Co-ordination of IMO TC Activities	133,800	139,400	273,200	
5 Programme Implementation				
1 Maritime safety	179,900	189,100	369,000	
2 Marine environment	52,900	53,900	106,800	
3 Maritime legislation	86,700	88,000	174,700	
4 Facilitation of International Maritime Traffic	29,700	31,300	61,000	
6 Programme Monitoring and Assessment	130,200	135,600	265,800	
7 Training and Institution Support	132,200	135,800	268,000	
Reimbursement of Technical Co-operation support costs	(224,200)	(224,200)	(448,400)	2,665,700
8 Conference Services				
1 Direction and Management	143,600	147,700	291,300	
2 Conference Management and Support Services	3,877,600	4,055,100	7,932,700	
3 Publishing	951,200	980,500	1,931,700	10,155,700
9 Administrative Services				
1 Direction and Management	176,500	182,900	359,400	
2 Financial Services	745,100	780,900	1,526,000	
3 Personnel Services	573,100	598,200	1,171,300	
4 Office Services	1,187,300	1,236,300	2,423,600	
5 IT and Office Automation	302,900	315,300	618,200	6,098,500
10 External Relations and Information Services				
1 Direction and Management	56,000	58,000	114,000	
2 External Relations	286,000	290,000	576,000	
3 Information Services	183,300	189,500	372,800	1,062,800
	14,664,300	15,310,100	29,974,400	
Staff turnover level (120 work months)	(244,200)	(256,800)		(501,000)
General Operating Expenses and Headquarters Premises	3,526,000	3,612,800		7,138,800
Total Estimated Appropriation	17,946,100	18,666,100		36,612,200
Less:				
Miscellaneous Income	(140,000)	(140,000)		(280,000)
Transfer from the Printing Fund	(200,000)	(200,000)		(400,000)
Net to be Assessed	17,606,100	18,326,100		35,932,200

